FDA/CDRH Epidemiology Grand Rounds Program, May 18, 2017

# Overview of TMD and History of TMJ Replacement

## Terrie Cowley - President & Co-founder The TMJ Association, Ltd.

#### www.tmj.org









National Institute of Dental and Craniofacial Research



Agency for Healthcare Research and Quality Advancing Excellence in Health Care • www.ahrq.gov

## The TMJ Association

- A non-profit patient advocacy organization
- Mission is to improve the quality of health care and life for everyone affected by TMD
- Founded in 1986 based in Milwaukee, WI



#### **Manifestation of TMD**





#### **Manifestation of TMJ**



Same conditions that affect other joints in the body affect the TMJ



## **TMD Does Not Exist Alone**



Chronic TMD is a complex medical condition—a multisystem disorder with overlapping comorbidities mediated by genes, sex, age, behavioral, environmental/epigenetic triggers



CHRONIC PAIN Research Alliance

### **TMD Does Not Exist Alone**

Approximately 36 million Americans have some degree of TMD. The majority are women in their child bearing years.





### **TMJ Treatments**

There are a multitude of treatments available to TMD patients—most without scientific evidence of safety or efficacy.

There is not one drug or biologic labeled for TMD.

A 1992 study estimated the annual cost of TMJ treatments to be \$32 billion. (J. Fricton et al. 1992)



## **Interpositional Implants**

Throughout the 1980s two materials were used to replace the disc between the skull and jaw bone.

- Dacron reinforced Silastic Sheeting (Dow Corning)
- Telfon coated Proplast (PTFE) Vitek



## **Some Implant Reactions**

- Open communication to the brain
- Numerous new medical conditions
- Inflammatory and/or immunological responses
- Foreign body giant cell reactions
- Severe reactive synovitis, bone resorption
- Silicone-related lymphadenopathy
- Avascular necrosis of the condyle and condylar neck = severe changes in occlusion
- Severe pain
- Swelling



## **PTFE – Silastic**

- FDA <u>recalled Vitek implant</u> in 1990 and seized all products.
- Vitek President moved patents off shore, fled to Switzerland, and resumed business.
- FDA became responsible for conducting its very first recall.
- 1993 Dow Corning discontinued labeling Silastic sheeting for TMJ trismus. Still used off label.



assessing the dangers of destinations, Routh said, noting that the travel and rising fuel prices from the Middle East crisis.

#### Harley-Davidson recalls bikes

tion's lone motorcycle manufacsturer, has recalled 43,000 motorcycles because of a brake caliper defect that could cause front wheels to lock while driving.

Models affected are all of the FXR and XLH cycles sold between 1987 and 1990, the National Highway Traffic Safety Administration said.

The problem is that the upper mounting bolt on the calipers might fracture, while the motorcycle is in use. If that happens, the front brakes would remain functional, but a bushing could move Sout of position and into the front spokes, causing the front wheel to lock up, the agency said.

Anyone owning one of the mo-Itorcycles should take it to any Harley dealer for repair.

**Heis**mootheidel

G

Harley spokesman Dan Klemencic estimated the recall would cost \$150,000 and said the company was unaware of any injuries related to the defect.

Harley-Davidson Inc., the na-ANSWER LINE CONSUMER WATCH

#### **Jaw implants**

Implants used to treat a painful joint condition have been recalled by the manufacturer, Vitek Inc. of Houston because they may cause bone degeneration.

The devices, marketed between 1983 and 1988, were used to treat temporomandibular joint syndrome - commonly referred to as TMJ - a condition of the joint connecting the jaw bone and skull.

Anyone who has or thinks he may have one of these so-called interpositional implants should contact the dentist who performed the surgery, the Food and Drug Administration said.

The implants were made with a Teflon composite coating that can break down under pressure, producing particles that cause the body to reject them, the FDA said.

Pic

Buy two Claris Products.\*

tures Forum Action Committee.

Chairman of the Arizona Stra tegic Planning for Economic Deve opment project.

President of the Arizona Inne vation Network.

A mentor for a new busines program sponsored by America Telephone & Telegraph Co. an the Phoenix Chamber of Con merce.





ARE FDA AND NIH IGNORING THE DANGERS OF TMJ (JAW) IMPLANTS?

#### HEARING

HUMAN RESOURCES AND INTERGOVERNMENTAL RELATIONS SUBCOMMITTEE OF THE

> COMMITTEE ON GOVERNMENT OPERATIONS HOUSE OF REPRESENTATIVES

ONE HUNDRED SECOND CONGRESS

SECOND SESSION

JUNE 4, 1992

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FRIDAY, OCTOBER 1, 1993

#### Letters to the Editor

#### Ethical Dilemma of Jaw Implants

The TMJ Association as a national nonprofit advocacy group for people with TMJ disorders hears tragic stories daily of pain and suffering. We are appalled and out-raged at the senseless devastation wreaked upon the lives of thousands of TMJ sufferers in this country-all because profit and greed are put before the welfare of patients.

You outline the scenario of how governmental agencies, health care professionals and device manufacturers-by actions of commission or omission-fail to protect the temporomandibular joint patients of this country. However, the American Dental Association, the National Institutes of Health and the medical community, who were spared criticism, should also share the blame. The ADA has neglected to make TMJ a specialty with educational criteria and, even worse, has ignored the blatant quackery being practiced by American dentists. The NIH has spent a mere .07% of its total budget on research of a disorder that affects about 20% of the population, 90% of whom are women. And the medical community has completely avoided temporomandibular joint disorder, although most aspects of the disorder are non-dental.

What we have is a disorder with no consensus on definition, cause, diagnosis, or treatment-in other words, a virtual lack of science, with the end result a huge "medical mess." Isn't it time this disorder is given the scientific respect it deserves? TERRIE COWLEY President

The TMJ Association Milwaukee

## HE WALL STREET JOURNA

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TUESDAY, AUGUST 31, 1993

#### Medical Mess

Implants in Jaw Joint Fail, Leaving Patients In Pain and Disfigured

Teflon-Coated Disk Seemed A Boon for TMJ at First But Had Little Testing

'Surgical Merry-Go-Round'

By BRICE ISLERDELL and Rose GOVIELS. Anaff Reporters of Task Wall, Drawn'r Jonania, Roblyn Ruggles is weeping. "This isn't my face," she says. "I used to be real pretty.

Right stal targery operations have left her dialigured, without juw joints, her mouth permanently agape. She can't hite into a sandwich. She can't purse her tipe Bor a kles.

And alone at night, she can hardly bear the mostle spates and the pain. "It never goes away: it's God-awful pain," says the concliner marse, who lives in Cayabaga Falls, Ohio. "I have to pretend it's some thing clue to hold onto my samity." Mr. Raggier, 37 years old, in a victim

of biomaterials engineering gone awry, caught up in a medical catastrophe that is claiming new casualties almost every day. The cause of her pain and disfigurement: synthetic jaw implants aggressively mar-keted by Charles Honoy, hunder of Vitek Hundreds of oral surgeons embraced the

so-called interpositional implants as a breakthrough of sorts in the 1960s. One prominent surgross, John Kent, lent his name to Vitek products and served as Dr. Homsy's clinical consultant.

#### An Unfolding Disaster

An Unitoding Diseaster More than 52.007 patients afflicted with the same jaw disorder as Mr. Ruggles-teraperor ensatisfinater joint synderome, or TMJ - received Vitek implants before his billy-immerance problems forced the small flowing company in take them of the market is mid 1986. In 1999 the Frood and Drug Administration forced the company to finue a safety aberi and eventually stand sits products. Moi for themasch of patients, the im-plant affline is the flow over, Medical

The life theorems of patients, the im-plant atlair is her from over. Medical strateris new capeed a high percentage, it out ad, of the implants to break up into increasing tragments and beget a bas-microscopic tragments and beget a bas-phone of the implants of the results of the implants of the intervent pathan erail targeters. This is the worst inster out specially that ever based. It is not with many contributing the the results regulation the medical de-view industry was law then the device was bisinduced in 100. Viola was handed by a method extrement who, strending

to the FDA and experts in bioesechanics, neglected to run a critical test of the implant's durability. The company was quick to discount adverse findings in anirual experiments - all done after the im-plant was in widespread one - and was slow to accept the implications of early implant falkeres.

TMJ disorders can produce arthritis, aw and facial pain, bendaches, earaches, clicking nounds in

the jaw, and restricted jaw move sient. The bemporomandibular joint is recibly complicated: It lets the lower jaw, or mandible, move up and dram, side to side, forward and back. and in many combisuffices as a person speaks. billes. chews, swallows, Charley Manag

smiles, laughs, grimaces. It is an exquisite network of nerves and muncles, and it isn't well understood No one knows, for instance, why TMJ disorders afflict women more than men; 39% of the Vilek implant recipients are

Treatment of TMJ disorders has long occupied a medical gray area. Orthopedic surgeons have stayed away from it, fearful of slipping up in an area so close to the brain, ear and facial serves. But oral and maxillofacial surgeons have been more aggressive in treating TMJ, and when the Vilek implant came on the market, many turned to it enthusiastically.

Compounding the tragedy, new re-search in the Netherlands suggests that the best treatment for TMJ may be none at all, because most TMJ disorders about in a few years. Some experts in the 11.5. also say shere is an justification for surgi-cal intervention in TMJ cases. "The worst post-surgical cases are far worse than the worst cases in their natural, pre-sargical states," says Joseph Marbach of Colorada University's achool of public health. Blaming Surgeons.

Dr. Bonnsy, a chemical engineer who was presidend and majority owner of now-defunct Vitek, blames surgeous for patting betweet Vitek, binners surgroom for poiling int products in the wrong patients or for hotching the procedure. The implants, he asterits, "weren't at fault; poor surgical judgment and ferdinique were." If there were any gaps in terling, he says, it is the fault of the Kend. Wr. Kend, hend of oral and maximid-decial surgery at Londman.

surgery at Louisiana State University. Manes Dr. Honoy for inadequate test-ing. "The ultimate responsibility for test-ing in the manufacturer's," says Dr. Kest, Counters his erstwhile friend, who conbrads that he orged Dr. Kent to conduct the appropriate feats: "I'm a scientist, I can't Please Turn is Page Ait, Column 1

## TMJ Total Joint Devices



1999 FDA approves TMJ Concepts device

2001 FDA approves TMJ Implants, Inc. device (MoM)

2002 FDA approves Biomet/Walter Lorenz device



## **TMJ Implant Issues**

The following information was gathered from The TMJ Association's interactions with patients and MedWatch reports.



## Adverse Events at the Time of Surgery

- Transient ischemic attack (TIA)
- Stroke
- Traumatic brain injury (TBI)
- Facial paralysis
- Tachycardia, bradycardia
- Death



Post-surgical Adverse Events Related to Device Material or Design

- Perforations of device into the skull, ear or skin
- Loose or broken screws
- Fractured device materials parts embedded in surrounding tissue and/or migrating in the general circulation



#### **Post-implant Complications**

- abnormal thyroid function
- allergy hives, rashes itching
- bite changes
- bladder dysfunction
- bone degeneration
- Chronic Fatigue
- chronic, continuous headaches migraine, tension-type, other
- chronic respiratory, urinary tract, pelvic, \* or gastrointestinal infections
- cognitive dysfunction and memory issues
- cold extremities
- constant low-grade fever
- decreased jaw range of motion
- device materials leaching into tissues & blood stream
- dizziness, balance issues

- drooling
- dyslexia
- dystonic tremor
- ear pain, diminished or hearing loss, hyperacusis
- eye lid paralysis necessitating gold leaf implant or suturing eye lids together
- face unrecognizable after surgery
- Fibromyalgia, Myofascial Pain Dysfunction, muscle aches and various types of pain in the head, neck and upper back.
- flu-like symptoms
- foreign body giant cell reaction
- harvest site issues (butt, abdomen, rib, ear cartilage, muscle, rib, toe, etc.)



## **Post-implant Complications (cont.)**

- heterotopic bone growth and ankyloses
- hoarseness
- infections not responsive to antibiotics.
- intolerance to heat and/or cold
- lymphadenopathy
- MS-like symptoms
- metal sensitivity and allergies
- metalosis
- muscle atrophy
- muscle spasms
- night sweats
- numbness that does not alleviate over
   time
- paralysis that does not recover over time
- parotid gland cysts, stones

- pelvic gastrointestinal infections
- post-traumatic stress syndrome
- seizures
  - Sjogren's; dry eyes and dry mucous membranes
- skin discoloration
- sleep apnea or sleep disorders
- snow blindness, blurred vision, dyslexia
- speech difficulty
- stroke
- swallowing difficulties
  - swelling that does not subside
- traumatic brain injury
- teeth breaking during and after the procedure
- urinary tract issues



#### 2007 GAO Report FDA Concerns

- Inadequate measurement or inaccurate clinical study results
- Lack of patient history data
- Original sample size of study too small
- Lack of patient follow-up
- Inadequate wear testing
- Inadequate fatigue testing
- Inadequate other engineering testing
- Inadequate device labeling
- Unaddressed microbiology, packaging, and shelf-life issues
- Incomplete sponsor manufacturing inspections

"FDA management indicated that the clinical data was not expected to be of high quality because the sponsor was a small manufacturer."

"Either good engineering data or good clinical data was acceptable to approve a device - not necessarily both." Both later found to be inadequate



### 522 Order

On February 7, 2011 the FDA issued a 522 order following an analysis of MedWatch complaints from 2004 to 2010 in which **52% of the devices had to be explanted before three years.** 



#### **State of All Treatments**

Patients can improve. Patients can be unaffected. Patients can be worsened. Patients can acquire TMD.

#### We call this TMJ lotto.



## Chaos and Controversy Abound in TMD

- Dentists, oral surgeons and device manufacturers tell us that we only hear from the "bad ones." They have many successes.
- A chasm exists between what the patients are experiencing and what professionals claim the patients are experiencing.
- Patients report to The TMJ Association, FDA MedWatch, and discuss in TMJ chatrooms the many problems they are experiencing.
- Publications by professionals on TMJ device results include no mention of the adverse events patients are experiencing.
- Patients who experience adverse events are often left abandoned, isolated and hopeless with no good treatment options.

## Who Can the Patient Trust?

- We have no independent registry to verify what is actually occurring
- Treatments are without validated safety and efficacy
- No specialty in medicine or dentistry
- No educational criteria
- Medical doctors do not understand what is happening to the patients
- No standards of care/protocols
- Haphazard insurance coverage

"We need a comprehensive approach, a combined effort, a coming together or meeting of the minds of all stakeholders to address every aspect of this disorder." Adriana V.



## A Solution... TMJ Patient RoundTable

- First-of-its-kind collaboration in the TMD/FDA area bringing together all stakeholders—patients, industry, surgeons, regulators, academia, government agency representatives, researchers and policymakers.
- A unique feature is the patient centered approach. Patients have a vital role and discussions will address their experiences and problems.
- This transparent and respectful venue will hopefully breakdown the barriers that currently allow the continuation of rumors, blame, and suspicion that is detrimental to all involved.

The TMI Association

## June 16, 2016 Meeting



- Held at FDA
   headquarters.
- Each stakeholder provided their perspective.
  - A Steering Committee was formed and working groups developed to address the issues raised.



#### Working Group I Dr.Torosyan

#### The TMJ Patient: Natural History and Assessment of Biomarkers Associated with Outcomes in TMJ Implant Patients

Their charge is to define biological and other characteristics of the patient, patient reported outcomes as risk factors to identify success/failure of implants and other treatments.



#### Working Group 2 Dr. Alvarez-Garriga

#### Patient-Reported Outcome Evaluation and Real-World Evidence and Patient Data

Their charge is to identify patient reported outcomes which include patient quality of life, for the evaluation of TMJ treatments, such as implants and develop instruments for patient reported outcomes.

They will also summarize current recommended therapies and identify adverse events due to implants through patient reported surveys outside of the clinical trial reporting environment with input from other Working Groups.

#### Working Group 3

#### **Education, Patient-Centered Treatment**

Their charge is to compile currently available best diagnostic tests and practice guidelines and identify those that need to be developed.

Coordinate with Working Group 1 and identify risk factors to be included in diagnostics and guidelines for implants and other TMJ therapies.



#### **Expected Project Outcomes**

- Patient-preferences will be included in premarket applications and post-market surveillance efforts for all TMD treatments.
- Provide a roadmap for the development of precision medicine algorithms that predict individual outcomes from TMJ therapies.
- Evidence-based protocols, guidelines and best practices will be developed and included in professional health care curriculum.
- Collaborative and individual research projects will incorporate the needs and concerns of patients.



#### Acknowledgements

TMJ Patients Food and Drug Administration/CDRH National Institute of Dental and Craniofacial Research Agency for Healthcare Research and Quality TMJ Device Manufacturers American Association of Oral and Maxillofacial Surgeons MDEpiNet Others lending their expertise to this project





## Patient-Reported Outcome Evaluation & Real-World Evidence & Patient Data

#### Carolina Alvarez-Garriga Epidemiologist







- Patients with TMD have been diagnosed and treated with a variety of non-specific tests and pain scales that do not address endpoints that are important to patients.
- The three TMJ implant devices are under 522 study orders to address their questionable benefit-risk profiles, including reports of multiple revisions, migration, and extreme facial scarring resulting in depression, opiate abuse, and suicides related to chronic pain.



- Discussions with patient advocates have revealed a disconnect between the safety data from clinical trials for TMD device treatments (TMJ) and patients' experience.
- Safety data from manufacturers supported clinical trials seem to under-report the frequency of adverse events.



• To better understand the safety of TMJ devices, it is important to first listen patients outside the clinical trial environment.

 Taking this first step will allow a better understanding of the TMJ safety profile that will serve as a basis for development of meaningful patient assessment tools leading to improved treatment, care, and management of TMD.

 The U.S. Food and Drug Administration (FDA) defines a *patient-reported outcome* (PRO) as "any report of the status of a patient's health condition that comes directly from the patient, without interpretation of the patient's response by a clinician or anyone else".



## **Patient-Reported Outcome Evaluation**

#### **Co-chairs:**

<u>Joel Gagnier</u>, Assistant Professor, Department of Orthopaedic Surgery, Department of Epidemiology, School of Public Health, University of Michigan. <u>Tricia Kalinowski</u>, TMJ Patient

#### Goals:

- (1) to develop outcome assessment and reporting tools based on patient input, and
- (2) to develop evidence to incorporate patient-centered data into clinical care

#### **Responsibilities:**

- (1) identify available validated PRO instruments that include patient quality of life for the evaluation TMJ treatments, such as device implants, and
- (2) to develop valid instruments to gather PROs as needed



### **Patient-Reported Outcome Evaluation**

The Patient Engagement Interaction for Safety Evaluation in Patients with TMJ project was recently awarded by Critical Pathway funding.

This study will be conducted by means of a cross-sectional online survey using a validated *ad hoc* self-administered questionnaire to gather the frequency of selected PROs regarding adverse events from TMJ implanted subjects.

The results of this survey will be compared to those of the literature and the labeling of the three FDA approved TMJ devices in the US market to determine whether or not adverse events are under-reported in the clinical trials and other clinical studies sponsored by TMJ manufacturers. If underreporting is found we will assess the magnitude of under-reporting and provide potential explanations.



## **Real-World Evidence & Patient Data**

Co-chairs:

<u>Carolina Alvarez-Garriga</u>, Division of Epidemiology, CDRH

Michele Kaseta, TMJ Patient

#### Goal:

to develop evidence based best practices, treatment protocols and clinical practice guidelines which will include patient-centered data

#### **Responsibilities:**

- summarize current recommended therapies and identify adverse events due to implants through patient reported surveys outside of the clinical trial reporting environment, and
- (2) coordinate with input from the other three TMJ working groups

# **Regulatory and public health impacts**

- The development of PROs will allow better communication between FDA, manufacturers, doctors, and patients about patient's expectations for TMD treatment options.
- Agreement upon outcomes between patients, physicians and FDA, will promote:
  - better patient's acceptance of trial results
  - more suitable evaluation of new products, and
  - provide more solid basis for regulatory assessments



# Thank you!



Epidemiology Grand Round May 18, 2017

Overview of TMD / TMJ Replacement Research Area (3): In silico research opportunities

**Lisa Torosyan, MD, PhD** Division of Epidemiology, DEPI/CDRH/FDA

# Narrowing the gap between currently available and urgently needed

Enhanced susceptibility and modifying factors (e.g., sex):
 Variability in clinical manifestation and disease severity
 Variability in treatment responses and outcomes

The need for diagnostic and prognostic biomarkers:
 Intention-to-Treat population with <u>degenerative and ankylotic TMD</u> conditions to be treated with TMJ replacement
 Predictive assessment of real-world device performance
 Prevention and diagnostic/therapeutic management of adverse events

In silico research and evidence integration for developing TMDrelated Precision/Stratified Medicine applications





#### Promise of 'Omic Research for elucidating the causes for susceptibility and inter-individual variability



Image: marginal content of the state of t

Contributions of molecular genetic approaches to the study of oral and craniofacial diseases

# TMD in Coremine knowledgebase: >10,400 connections, including ~400 genes/proteins

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Temporomandibular Joint Disorders (alias Temporoman (disease) (10422 connections)	Browse all related gene/protein concepts					
	MeSH (3048)					
	Chemical (1474)					

Cellular component (50)

Ø

# Example of potential sex/race-related variability in utility of TMD biomarkers (Xiao et al 2015; 1000 Genomes)



#### Population genetics @

#### 1000 Genomes Project Phase 3 allele frequencies



#### Example of a TMD biomarker limited to one racial subgroup (Smith et al 2013; 1000 Genomes)

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ecember ; 14(12 0): . doi:10.1016/j.jpain.2013.09.004.

ariants associated with development of TMD and its ate phenotypes: the genetic architecture of TMD in the prospective cohort study

1<sup>1</sup>, Ellen Mir<sup>1,2</sup>, Eric Bair<sup>1,2</sup>, Gary D. Slade<sup>1,3,4</sup>, Ron Dubner<sup>5</sup>, Roger B. el D. Greenspan<sup>5</sup>, Richard Ohrbach<sup>7</sup>, Charles Knott<sup>8</sup>, Bruce Weir<sup>9</sup>. William nd Luda Diatchenko<sup>1,11</sup>

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This variant has 28 HGVS names - <u>Show</u> ⊞
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This variant overlaps 6 transcripts, has 3799 sample genotypes and is mentioned in 3 citations.

e frequencies



"A SNP in PTGS1 (rs3842803) showed the strongest association with global psychological symptoms. However, as this SNP is very rare in Caucasians/Europeans, but fairly common in African populations, the SNP remained strongly associated only in African-Americans."

#### TMD complexity complicating treatment choices

Degenerative and ankylotic TMDs as indications for TMJ REPLACEMENT

TMJ OA

TMDs (eg, chronic pain nociceptive, neuropathic, etc.)

#### Ingenuity Knowledgebase (1): Genes involved in abnormal TMJ morphology



#### Ingenuity Knowledgebase (2):

genes that are associated with Abnormal TMJ Morphology and Osteoarthritis can be also involved in Neurological Functions



#### In silico Framework for Integrating Epidemiological and Genetic Evidence



#### In silico research: going beyond conventional evidence integration

- Apply Systems Biology/Medicine approach
- Integrate multidisciplinary evidence
- Extract new information by reanalyzing raw pre-existing data
- Integrate amassed device/biomaterial-related knowledge (eg, biomedical, clinical, epidemiological, population genetics, etc)
- Promote translational research by incorporating pre-clinical findings
- Data analysis and interpretation using computer modeling and simulation:
  - Elicit and test new hypotheses
  - Cross-validate the results from different sources

Data repurposing and reutilization: the gift that keeps on giving



#### What can be expected from

#### in silico evidentiary approaches to the TMD area

#### Biological and clinical plausibility of TMD study endpoints and biomarkers based on:

- Better understanding of molecular/mechanistic underpinnings
- / Improved pre-selection for further qualification, validation and implementation steps

#### $\checkmark$ Clinical and regulatory relevance :

- New druggable targets for different types of TMDs
- Well-categorized TMD biomarkers (eg, diagnostic, monitoring, predictive, prognostic, etc)
- Well-defined target subpopulations (eg, ITT for TMJ Replacement)
- Proactive surveillance using new study endpoints (eg, biomarkers) for early detection and monitoring of adverse (clinical and subclinical) events in TMD/TMJ arthroplasty
- $\checkmark$  Less burdensome and more ethical *in vitro* and ex vivo TMJ device/biomaterial testing

Cost/time-efficient solutions for TMD-related Precision Medicine applications



Questions ? <u>Yelizaveta.Torosyan@</u> <u>fda.hhs.gov</u> 301-796-7127

